

# Utah State University

## Conflict of Interest Disclosure Form

*This form must be completed by contract and grant applicants if the answers to any of the questions in the conflict of interest section of the SP-01 form is **YES**. A separate form should be completed by each principal investigator, any co-principal investigators, and any other individual employed by anticipated or existing contracts and/or grants who has or will have signature authority on the project accounts. An original form must be submitted with each SP-01 form whenever Conflict of Interest status changes. A form must also be submitted prior to each transfer of technology coming from staff member's research. Please include this form with your contract/grant proposal and SP-01 form for all levels of administrative review. If you are completing this form in connection with a technology transfer, submit a copy of the completed form to the Technology Transfer Office.*

***EACH PROPOSAL MUST BE SUBMITTED WITH A SEPARATE COI DISCLOSURE***

Name	Telephone Number
Title and Position	Department/Center/Unit

This form is to identify and evaluate potential conflicts of interest related to sponsored research and technology transfer in compliance with federal and state law and university policy. *Answering, "yes" to any of the following questions, means only that disclosure and evaluation, and in some cases, approval and oversight, are required.*

1. Do you, or to your knowledge does any member of your immediate family<sup>1</sup> have, or expect to acquire during the term of existing or anticipated sponsored project or technology transfer, a significant financial interest<sup>2</sup> in any business entity<sup>3</sup>...
  - a. Sponsoring the proposal research or substantially related research? Yes \_\_\_ No \_\_\_
  - b. Whose business is substantially related to subject matter of your proposed sponsored research or technology transfer? Yes \_\_\_ No \_\_\_
  - c. Which is requesting rights to any USU/USURF technology? Yes \_\_\_ No \_\_\_
  - d. That may compete for provision of goods or services to be paid for with funds provided under a sponsored project over which you may have signature authority? Yes \_\_\_ No \_\_\_
  
2. Are any of your existing or proposed sponsored projects or technology transfers related to subject matter in which your are aware that another sponsor or entity has a claim or ownership or other legal interest granted by USU/USURF? Yes \_\_\_ No \_\_\_

*(If the answer to any of the preceding was **YES**, please provide the details of the situation on the form below)*

I have read and understand that University Policy "Conflicts of Interest" governs all USU and USURF employees.

\_\_\_\_\_  
Signature of PI, Co-PI, or other personnel

\_\_\_\_\_  
Date

(Obtain the following signatures, as the institutional representatives, **ONLY if the answer to any of the preceding was Yes.**)

Reviewed by: \_\_\_\_\_  
USU Department Head/SDL Division Director Date

Reviewed by: \_\_\_\_\_  
USU Dean Date

Reviewed by: \_\_\_\_\_  
USU Research Center Director (if applicable) Date

Reviewed by: \_\_\_\_\_  
USU Vice President for Research Date

**Distribution:** Negative disclosures: Original to Sponsored Programs Office; Positive Disclosures: Original to Vice President for Research Office, copies to Sponsored Programs Office and Office of Technology and Commercialization

*Please see below for definitions and to add explanations for additional details*

